Metadata report



Part II: Product-specific information on the use of the DRG statistic 2011 for on-site use

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Version 5



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1 Data preparation by the RDC

1.1 Data preparation

All auxiliary features and direct identifiers have been deleted from the data as they may not be provided for reasons of anonymisation. The hospital-ID (ik), the discharging facility (entl_ort), and the number of the hospital case (fall_nr) are replaced by system-free identifiers.

Furthermore, the data is filtered so that only fully inpatient and pure DRG cases are being kept in the data (typ_fall = 1 and typ_bereich = 1).

1.2 Anonymisation measures

Apart from replacing the direct identifiers with system-free numbers, the RDC did not take any measures to further anonymise the data.

1.3 Method of linkage

Since no data was linked to create this product, this point is omitted.

2 Product

2.1 Characteristics and variable definition

Variable	Definition	Format	Length	Code		Comments
					Missing values	
kh_land	Land of the hospital	а	2	01 = Schleswig-Holstein 02 = Hamburg 03 = Niedersachsen 04 = Bremen 05 = Nordrhein-Westfalen 06 = Hessen 07 = Rheinland-Pfalz 08 = Baden-Württemberg 09 = Bayern 10 = Saarland 11 = Berlin 12 = Brandenburg 13 = Mecklenburg-Vorpommern 14 = Sachsen 15 = Sachsen-Anhalt 16 = Thüringen		classified according to the AGS as of December 31 of the reporting year.
kh_rb	Administrative region of the hospital	a	1	0 - 9		classified according to the AGS as of December 31 of the reporting year. Evaluations at this regional level not possible for confidentiality reasons.
kh_kreis	District of the hospital	а	2	0 – 93		classified according to the AGS as of December 31 of the reporting year. Evaluations at this regional level not possible for confidentiality reasons.

kh_gem	Municipality of the hospital	a	3	0 - 632	classified according to the AGS as of December 31 of the reporting year. Evaluations at this regional level not possible for confidentiality reasons.
kh_plz	Postal code of the hospital	а	5	1067 – 99976	Evaluations at this regional level not possible for confidentiality reasons.
kh_typ_gem3	Type of region of the hospital	а	2	01 = urban region 02 = region with rudimentary urban growth 03 = rural region	Explanations at www.bbsr.bund.de
pat_land	Federal state of the patient	a	2	01 = Schleswig-Holstein 02 = Hamburg 03 = Niedersachsen 04 = Bremen 05 = Nordrhein-Westfalen 06 = Hessen 07 = Rheinland-Pfalz 08 = Baden-Württemberg 09 = Bayern 10 = Saarland 11 = Berlin 12 = Brandenburg 13 = Mecklenburg-Vorpommern 14 = Sachsen 15 = Sachsen-Anhalt 16 = Thüringen au = Ausland oh = no data provided (category available for reporting years 2005- 2010) un = unknown	classified according to the AGS as of December 31 of the reporting year.
pat_rb	Administrative region of the patient	а	1	0 – 9 a = foreign o = no data provided (category available for reporting years 2005- 2010) u = unknown	

pat_kreis	district of the patient	а	2	00 – 93 au = foreign oh = no data provided (category available for reporting years 2005- 2010) un = unknown		
pat_gem	Municipality of the patient	а	3	0 – 999 aus = foreign ohn = no data provided (category available for reporting years 2005-2010) unb = unknown		
pat_ags5	district of the patient (five- digit in accordance with AGS)	а	5	01001 – 16077 ausaa = foreign ohnoo = no data provided (category available for reporting years 2005-2010) unbuu = unknown		
pat_typ_gem3	Type of region of the patient	а	2	01 = urban region 02 = region with rudimentary urban growth 03 rural region		Explanations at www.bbsr.bund.de
sex	Sex	а	1	m = male w = female u = unknown		
alter	Age in years	n	8	999 = unknown	yes	

typ_alter	Age (grouped)	n	3	1 = 0 years 2 = 1 to 4 years 3 = 5 to 9 years 4 = 10 to 14 years 5 = 15 to 19 years 6 = 20 to 24 years 7 = 25 to 29 years 8 = 30 to 34 years 9 = 35 to 39 years 10 = 40 to 44 years 11 = 45 to 49 years 12 = 50 to 54 years 13 = 55 to 59 years 14 = 60 to 64 years 15 = 65 to 69 years 16 = 70 to 74 years 17 = 75 to 79 years 18 = 80 to 84 years 19 = 85 to 89 years 20 = 90 to 94 years 21 = 95 to 110 years 22 = unknown four-digit information on year of birth (XYXY)	yes	
geb_jahr	Year of Birth	n	8	four-digit information on year of birth (YYYY)		
geb_monat	Month of birth (only for under one-year-olds)	n	8	0 = 1 year and older $1 = 1 month$ $2 = 2 month$ $3 = 3 month$ $4 = 4 month$ $5 = 5 month$ $6 = 6 month$ $7 = 7 month$ $8 = 8 month$ $9 = 9 month$ $10 = 10 month$ $11 = 11 month$ $12 = 12 month$		
alter_tage	Age in days (only for children up to the age of one)	n	8	0 = 1 year and older		This information is only given for children up to the age of 1 year. It is needed for assignment of DRG. In case of newborns with day of admission = date of birth, "1" has to be stated.

typ_geb	Further information on newborns: admission in month of birth	n	3	1 = yes 2 = no	yes	
aufn_anl	Cause of admission	а	1	E = referral by a physician Z = referral by a dentist N = emergency R = admission after prior treatment in a rehabilitation facility V = transfer with a duration of therapy of over 24 hours at transfering hospital K = transfer (admission) of another hospital in the course of a cooperation (category available for reporting years 2005-2008) A = transfer with a duration of therapy of up to 24 hours at transfering hospital (for admissions after 1.1.2007) G = birth		Newborns, who are discharged together with their mother, need to be indicated as '06' (birth) as reason for admission and 'G' as cause of admission. In case of a newborn is not being released together with its mother, their reason of admission needs to be indicated as '01' and the cause of admission needs to be indicated as 'G'.
aufn_grd	Reason for admission	n	3	 1 = hospital treatment, full inpatient 2 = hospital treatment, full inpatient with previous preadmission treatment 5 = inpatient childbirth 6 = birth 7 = readmission due to complications (flat rate per case) according to KFPV 2003 8 = inpatient admission for organ removal 99 = unknown (category available for reporting years 2005-2007) 	yes	
aufn_gew	Weight of admission in grams (only for children up to the age of one)	n	8	0 = 1 year and older		Only for children up to the age of one; in case of newborns the birth weight counts.
beatm	Time of respiration in hours	n	8		yes	X 1 1 1 1

entl_grd	Cause of discharge	n	3	 1 = regular termination of treatment 2 = regular termination of treatment, post-discharge treatment intended 3 = treatment terminated for other reasons 4 = treatment terminated against medical advice 5 = changes in responsibility of cost unit (in days-related charges) 6 = transfer to another hospital 7 = death 8 = transfer to another hospital as part of a cooperation 9 = discharge into a long-term care facility 10 = discharge into a long-term care facility 11 = discharge into a hospice 13 = external transfer for psychiatric treatment 14 = treatment terminated against medical advice, post-discharge treatment intended 15 = treatment terminated against medical advice, post-discharge treatment intended 17 = internal transfer with change in remuneration areas of DRGs, of the Federal Ordinance on Hospital Rates or for special facilities according to section 17b subsection 1 sentence 15 of the KHG 22 = case end (internal transfer) when changing between full and part-time inpatient treatment 24 = termination of an external stay with absence past midnight (BdpfIV-section, for pseudo-specialist department 0003) (category available as of reporting year 2016) 25 = Discharge at the end of the year while admitted the year before (for accounting purposes, § 4 PEPPV 2013) (category available as of reporting year 2014) 		Category "13" relates to a subset of hospitals, being summarised as '6' formerly. Category "6" is now only relating to external transfers to hospitals, if there is not a transfer to a psychiatric or psychosomatic department.
icd_hd3	ICD code three-digit primary diagnosis	а	3			Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.
icd_hd4	ICD code four-digit primary diagnosis	а	4			Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.
icd_hd	ICD code five-digit primary diagnosis	а	5			Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.
icd_nd1 - icd_nd89	ICD code secondary diagnosis	a	5		yes	Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.

dia_art1-dia_art90	Diagnosis type	а	5	HD = main diagnosis ND = secondary diagnosis (Nebendiagnose) SD = secondary diagnosis (Sekundärdiagnose) UN = unknown	yes	This further information on ICD variables of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at ICD codes, which are not adjusted in the process of plausibility checks. ICD codes, which have been adjusted due to plausibility checks, contain "UN" in the according further information. Variable dia_art1 is refering to the primary diagnoses. Variables dia_art2 to dia_art90 are refering to the secondary diagnoses 1 to 89.
icd_ve1-icd_ve90	ICD version	n	4	four-digit information on reporting year (YYYY) 9999 = unknown	yes	This further information on ICD variables of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at ICD codes, which are not adjusted in the process of plausibility checks. ICD codes, which have been adjusted due to plausibility checks, ICD codes, which have been adjusted due to plausibility checks, contain ""9999"" in the according further information. Variable icd_ve1 is refering to the primary diagnoses. Variables icd_ve2 to icd_ve90 are refering to the secondary diagnoses 1 to 89.

icd_lo1-icd_lo90	ICD localisation (further information on code of diagnosis)	a	1	R = right L = left B = double-sided U = unknown	yes	This further information on ICD variables of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at ICD codes, which are not adjusted in the process of plausibility checks. ICD codes, which have been adjusted due to plausibility checks, contain "U" in the according further information. Variable icd_lo1 is refering to the primary diagnoses. Variables icd_lo2 to icd_lo90 are refering to the secondary diagnoses 1 to 89.
drgh	DRG code (primary DRG code, grouped by InEK)	а	4			
partition	DRG partition (M, O, A)	а	1	M = medical flat rate per case O = operational flat rate per case A = other flat rates per case		
split	Splitting of the basic DRG into degree of severity and use of ressources, 4th digit of the DRG notation	а	4	A – Z		
ops_ko1 - ops_ko101	OPS-code	а	6	99999 = unknown	yes	Procedure code in the current OPS version analogously to § 301 agreement.
typ_op	Operation according to chapter 5	n	3	1 = yes 2 = no		
z_bel_oper	Number of participation / performance of external operators	n	8		yes	
z_bel_an	Number of participation / performance of external anesthesists	n	8		yes	

z_bel_heb	Number of participation / performance of external midwives	n	8		yes	
bel_oper1- bel_oper101	external operators	a	1	J = yes, participation / performance of external operators N = no, no participation / performance of external operators U = unknown	yes	This further information on OPS-codes is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "U" in the according further information.
bel_an1-bel_an101	external anesthesists	а	1	J = yes, participation / performance of external anesthesists N = no, no participation / performance of external anesthesists U = unknown	yes	
bel_heb1- bel_heb101	external midwives	а	1	J = yes, participation / performance of external midwives N = no, no participation / performance of external midwives U = unknown	yes	
ops_ve1- ops_ve101	OPS-version	n	4	four-digit information on reporting year 9999 = unknown	yes	This further information on OPS-codes is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further

						information.
dat_ops1- dat_ops101	OPS-date	a	8	date in format YYYYMMDD 99999999 = unknown	yes	This further information on OPS-codes is not available as checked fo plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "99999999" in the according further information.
zeit_ops1- zeit_ops101	OPS-time	a	4	time in format hhmm 9999 = unknown	yes	This further information on OPS-codes of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further information.
ops_lo1-ops_lo101	Specialist departments	а	1	R = right L = left B = double-sided U = unknown	yes	
fab1 - fab100	Specialist departments	а	8	01 = internal medicine 0102 = focus geriatrics 0103 = focus cardiology 0104 = focus nephrology	yes	In addition to "00" the codes "90" and "92"can be used in the third and fourth digit to code

0105 - focus bacmatology and internal oncology	specialised specialist
0105 = focus haematology and internal oncology 0106 = focus endocrinology	
0107 = focus gastroenterology	departments, which are
0.07 = 1000 gastroenterology 0.008 = focus pneumology	not coded with a national
0108 = 1000 pheumology 0109 = focus rheumatology	common specialist
0109 = focus pulmonary and bronchial medicine	department code. Even
0174 = 10cus pullionary and bioternal medicine0150 = tumour research	though using this
0150 = focus coloproktology	distinction of specialist
0151 = 10cus colopionology 0152 = focus infectious diseases	departments, it is not
0152 = 10cus intectious diseases 0153 = focus diabetes	
0154 = focus naturopathy	needed to name the type
0156 = focus stroke patients (Stroke units, art. 7 § 1 (3) GKV-SolG)	of specialisation or the
	focus of the specialist
02 = geriatrics	department precisely.
0224 = focus gynaecology	Both contracting parties
0260 = day-care hospital (for semi-stationary nursing charges)	need to determine the
0261 = night-care hospital (for semi-stationary nursing charges)	specialist department
5 · · · · · · · · · · · · · · · · · · ·	code (described above)
03 = cardiology	()
	within the nursing care
04 = nephrology	rate agreement. This is
0410 = focus paediatrics	the condition for the
0436 = intensive care	procedure.
05 = haematology and internal oncology	Specialist department
0510 = focus paediatrics	codes, for which it is
0524 = focus gynaecology	possible to take 50 %
0533 = focus radiotherapeutics	APS intensive into
06 = endocrinology	account (in accordance
0607 = focus gastroenterology	with version of BPfIV of
0610 = focus paediatrics	31.12.2003) and which
	department flat rate does
07 = gastroenterology	not need to be reduced
0706 = focus endocrinology	by 20 %, if a surcharge
0710 = focus paediatrics	is charged
08 = pneumology	simultaneously:
oo – priednology	- 0436
09 = rheumatology	- 1136
0910 = focus paediatrics	- 1536
	- 2036
10 = paediatrics	- 2050
1004 = focus nephrology	
1005 = focus haematology and internal oncology	- 2136
1006 = focus endocrinology	- 2150
1007 = focus gastroenterology	- 36xx
1009 = focus rheumatology	
1011 = focus paediatric cardiology	Special arrangement for
1012 = focus neonatology	pseudo-specialist
1014 = focus pulmonary and bronchial medicine	

4000 fraue positistais sources	dementer en talis ETI
1028 = focus paediatric neurology	departments in ETL-
1050 = focus perinatal medicine	segment of the
1051 = long-term range children	discharging display:
11 – poodiatria cordialogy	- 0000: pseudo-specialist
11 = paediatric cardiology 1136 = focus intensive care	department in reference
	to hospitals (relevant
12 = neonatology	"specialist department"
12 - Hoshatology	for DRG grouping) for
13 = paediatric surgery	internal transfers and / or
	return transfers and / or
14 = pulmonary and bronchial medicine	
1410 = focus paediatrics	readmission and / or in
	case of external
15 = general surgery	residence with absence
1513 = focus paediatric surgery	over night.
1516 = focus trauma surgery	- 0001: pseudo-specialist
1518 = focus vascular surgery	department for residence
1519 = focus plastic surgery	in case of a return
1520 = focus thoracic surgery	transfer
1523 = focus orthopaedics	- 0002: pseudo-specialist
1536 = intensive care (§ 13 (2) 3, 2. BPfIV version released on	department for an
31.12.2003)	
1550 = focus abdominal and vascular surgery 1551 = focus hand surgery	external residence in
1001 – 1000s hallu sulyely	case of a readmission
16 = trauma surgery	- 0003: pseudo-specialist
ro – trauna ourgory	department for an
17 = neurosurgery	external residence with
	absence over night in the
18 = vascular surgery	BPfIV sector (please
	note: "0003" is used as
19 = plastic surgery	specialist department
	code for an external
20 = thoracic surgery	residence over night for
2021 = focus heart surgery	insured persons in
2036 = intensive care	
2050 = focus heart surgery intensive care	hospitals who are
04 heart aurona	refunded in accordance
21 = heart surgery	with BPfIV (regardless of
2118 = focus vascular surgery	the use of the new
2120 = focus thoracic surgery 2136 = intensive care (§ 13 (2) 3, 2. BPfIV version released on	remuneration system in
31.12.2003)	accordance with § 17 d
2150 = focus thoracic surgery intensive care	KHG)).
22 = urology	
23 = orthopaedics	
2309 = focus rheumatology	
2315 = focus surgery	

2316 = orthopaedics and trauma surgery
24 = gynaecology and obstetrics
2402 = focus geriatrics
2405 = focus haematology and internal oncology
2406 = focus endocrinology
2425 = gynaecology
2420 – gynasoology
25 = obstetrics
26 starbingler maglemy
26 = otorhinolaryngology
27 = ophthalmology
28 = neurology
2810 = focus paediatrics
2856 = focus stroke patients (Stroke units, art. 7 § 1 (3) GKV-SolG)
29 = general psychiatry
2928 = emphasis neurology
2930 = focus child and youth psychiatry
2931 = focus psychosomatics / psychotherapy
2950 = focus addiction treatment
2951 = focus gerontological psychiatry
2952 = focus forensic treatment
2953 = focus addiction treatment, day-care hospital
2954 = focus addiction treatment, night-care hospital
2955 = focus gerontological psychiatry, day-care hospital
2956 = focus gerontological psychiatry, night-care hospital
2960 = day-care hospital (for semi-stationary nursing charges)
2961 = night-care hospital (for semi-stationary nursing charges)
30 = child and youth psychiatry
3060 = day-care hospital (for semi-stationary nursing charges)
3061 = night-care hospital (for semi-stationary nursing charges)
31 = psychosomatics / psychotherapy
3110 = focus child and youth psychiatry
3160 = day-care hospital (for semi-stationary nursing charges)
3161 = night-care hospital (for semi-stationary nursing charges)
32 = nuclear medicine
3233 = focus radiotherapeutics
33 = radiotherapeutics
3305 = focus haematology and internal oncology
3350 = focus radiology
34 = dermatology
3460 = day-care hospital (for semi-stationary nursing charges)

			35 = dentistry and oral surgery
			36 = intensive care 3601 = focus internal medicine 3603 = focus cardiology 3610 = focus paediatrics 3617 = focus neurosurgery 3618 = focus surgery 3621 = heart surgery 3622 = focus urology 3624 = focus gynaecology and obstetrics 3626 = focus otorhinolaryngology 3628 = focus neurology 3650 = focus surgery 3651 = thoracic heart surgery 3652 = cardiothroracic surgery 37 = other specialist departments 3750 = angiology 3751 = radiology 3752 = palliative medicine 3753 = pain therapy 3754 = healing therapy department 3755 = spinal surgery 3756 = addiction medicine 3757 = abdominal surgery
specialist department with the longest duration of stay	а	8	see fab1 - fab100
duration of stay in specialist department	n	8	
duration of stay in specialist department with the longest duration of stay	n	8	
	the longest duration of stay duration of stay in specialist department duration of stay in specialist department with	the longest duration of stay duration of stay in specialist department duration of stay in specialist department with	the longest duration of stay n duration of stay in n specialist department n duration of stay in n specialist department with 8

dat aufn fo1	Date of admission in the		0	date in format XXXXMMDD	This further information
dat_aufn_fa1- dat_aufn_fa100	Date of admission in the specialist department	a	8	date in format YYYYMMDD	This further information on FAB-codes variables is not available as checked for plausibility. Therefore, this information only contains valid values at FAB- codes, which are not adjusted in the process of plausibility checks. FAB-codes, which have been adjusted due to plausibility checks, contain "99999999" in the according further information.
zeit_aufn_fa1- zeit_aufn_fa100	Time of admission in the specialist department	а	8	time in format hhmm	This further information on FAB-codes is not available as checked for plausibility. Therefore, this information only contains valid values at FAB-codes, which are not adjusted in the process of plausibility checks. FAB-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further information.
dat_entl_fa1- dat_entl_fa100	Date of transfer out of the specialist department	a	8	date in format YYYYMMDD	This further information on FAB codes variables is not available as checked for plausibility. Therefore, this information only contains valid values at FAB- codes, which are not adjusted in the process of plausibility checks.

					FAB-codes, which have been adjusted due to plausibility checks, contain "99999999" in the according further information.
zeit_entl_fa1- zeit_entl_fa100	Time of transfer out of the specialist department	a	8	time in format hhmm	This further information on FAB-codes is not available as checked for plausibility. Therefore, this information only contains valid values at FAB-codes, which are not adjusted in the process of plausibility checks. FAB-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further information.
typ_abt	Type of department	n	3	 1 = Main department only 2 = Document department only 3 = only special equipment 4 = several different assignments 	
abt_art1 - abt_art100	department category	а	8	HA = main department BA = occupancy department BE = special department	
ik	Hospital-ID (anonymised)	а	9		
fall_nr	number of case (anonymised)	n	8		
entl_ort	Discharging facility (anonymised)	n	3		A distinction is only necessary if a hospital has got various locations and accounts under a consistent hospital-ID. Otherwise, data is containing a zero.

auf_monat	month of hospital admission	n	3	two-digit month specification	
aufn_jahr	year of hospital admission	n	4	four-digit year specification	
dat_aufn	date of hospital admission	а	8	date in format YYYYMMDD	
zeit_aufn	time of hospital admission	а	4	time in format hhmm	
dat_entl	date of discharge from hospital (anonymised)	а	8	date in format YYYYMMDD	
zeit_entl	time of discharge from hospital	а	4	time in format hhmm	
tage	Period of hospitalisation (day cases are calculated as one day)	n	8		
typ_vwd	Type of period of hospitalisation	n	3	$\begin{array}{l} 01 = day \ case = 1 \\ 02 = days = 1 \\ 03 = days = 2 \\ 04 = days = 3 \\ 05 = days = 4 \\ 06 = days = 5 \\ 07 = days = 6 \\ 08 = days = 7 \\ 09 = days <= 9 \\ 10 = days <= 12 \\ 11 = days <= 14 \\ 12 = days <= 21 \\ 13 = days <= 28 \\ 14 = days <= 35 \\ 15 = days <= 42 \\ 16 = days <= 182 \\ 18 = days <= 365 \\ 19 = days <= 99999 \end{array}$	
std_fall	day case	n	3	1 = yes 2 = no	

cm	Case Mix (CM)	n	8		The Case Mix is the sum
CIII		11	0		of the effective cost
					relations of all hospital
					cases treated in the
					relevant reporting year.
					The calculation includes
					the effective cost relation
					of the DRG of the case
					treated. Day-related
					reductions (where
					duration of stay of a case
					is below the minimum
					duration), surcharges (where duration of stay
					of a case exceeds the
					maximum duration) as
					well as transfers of
					cases according to DRG
					regulations are included.
					Additional charges and
					full inpatient treatments,
					which are not
					remunerated by the DRG
					catalogue, are not
					included.
cm_n	valid cases (counter	n	8		Counter variable, which
	variable for the Case Mix)				takes the value 1, if cm
					and cm_vol show valid
					values.
cm_vol	Case Mix-revenue in euros	n	8		The Case-Mix Revenue
cm_voi	Case Mix-revenue in euros	11	0		is calculated by
					multiplying the effective
					cost weight by the
					relevant Land-wide base
					rate (with
					"Angleichungsbetrag") of
					the hospital cases.
					Additional charges and
					full inpatient treatments,
					which are not
					remunerated by the DRG catalogue, are not
					included.

2.2 Comparability of characteristics over time

The characteristics are basically comparable over time. Please note that some variables do not occur in all reference years. This is illustrated in the codebook for all reference years, which can be found at:

https://www.forschungsdatenzentrum.de/en/health/drg

Please also note that the classifications, according to which the main and secondary diagnoses, operations and performed procedures are coded, change over time. Decisive for the respective reporting year is always the version of the classification valid for the survey year.

The ICD-10-GM classification of the main and secondary diagnoses relevant for the reporting year 2011 can be found using the following link:

https://www.dimdi.de/dynamic/en/classifications/icd/icd-10-gm/code-search/index.html

The operation and procedure code relevant for the reporting year 2011 can be found using the following link:

https://www.dimdi.de/dynamic/en/classifications/ops/code-search/index.html

Federal State of patient	Count	Percent
Schleswig-Holstein	579.081	3,27
Hamburg	343.126	1,94
Lower Saxony	1.666.923	9,41
Bremen	131.376	0,74
North Rhine-Westphalia	4.110.872	23,21
Hessia	1.273.744	7,19
Rhineland-Palatinate	914.972	5,17
Baden-Württemberg	1.938.700	10,95
Bavaria	2.667.884	15,07
Saarland	247.204	1,40
Berlin	656.783	3,71
Brandenburg	601.924	3,40
Mecklenburg-Western Pomerania	390.713	2,21
Saxony	938.722	5,30
Saxony-Anhalt	586.395	3,31
Thuringia	556.547	3,14
Unknown	103.944	0,59
Sum	17.708.910	100

2.3 Basic values of relevant characteristics and characteristic combinations

Federal State of hospital	Count	Percent
Schleswig-Holstein	547.130	3,09
Hamburg	436.915	2,47
Lower Saxony	1.571.840	8,88
Bremen	194.322	1,10
North Rine-Westphalia	4.139.941	23,38
Hessia	1.236.674	6,98
Rhineland-Palatinate	869.429	4,91
Baden-Württemberg	2.004.898	11,32
Bavaria	2.722.530	15,37
Saarland	256.640	1,45
Berlin	740.518	4,18
Brandenburg	521.229	2,94
Mecklenburg-Western Pomerania	393.018	2,22
Saxony	956.738	5,40
Saxony-Anhalt	563.916	3,18
Thuringia	553.172	3,12
Sum	17.708.910	100

Gender of patient	Count	Percent
Male	8.303.304	46,89
Female	9.405.268	53,11
Unknown	338	0.00
Sum	17.708.910	100

Age of patient	Count	Percent
0 to 9 years	1.444.822	8,16
10-19 years	729.506	4,12
20-29 years	1.217.323	6,87
30-39 years	1.282.718	7,24
40-49 years	1.692.258	9,56
50-59 years	2.233.993	12,62
60-69 years	2.622.934	14,81
70 and older	6.485.339	36,62
Unknown	17	0,00
Sum	17.708.910	100

Main diagnosis according to ICD-10-GM	Count	Percent
I. Certain infectious and parasitic diseases	558.423	3,15
II. Neologisms (C00 – D48)	1.837.859	10,38
III. Diseases of the blood and haematopoietic organs and certain disorders involving the immune system (D50 – D90)	128.211	0,72
IV. Endocrine, nutritional and metabolic diseases (E00 – E90)	489.862	2,77
V. Mental and behavioural disorders (F00 – F99)	298.500	1,69
VI. Diseases of the nervous system (G00 – G99)	709.776	4,01
VII. Diseases of the eye and eye appendages (H00 – H59)	332.668	1,88
VIII. Diseases of the ear and mastoid process (H60 – H95)	149.893	0,85
IX. Diseases of the circulatory system (I00 – I99)	2.780.754	15,70
X. Diseases of the respiratory system (J00 – J99)	1.154.123	6,52
XI. Diseases of the digestive system (K00 – K93)	1.814.072	10,24
XII. Diseases of the skin and subcutis (L00 – L99)	264.842	1,50

XIII. Diseases of the musculoskeletal system and connective tissue (M00 – M99)	1.700.619	9,60
XIV. Diseases of the urogenital system (N00 – N99)	993.883	5,61
XV. Pregnancy, childbirth and puerperium (O00 – O99)	912.729	5,15
XVI. Certain states originating in the perinatal period (P00 – P96)	179.263	1,01
XVII. Congenital malformations, deformities and chromosomal anomalies (Q00 – Q99)	103.170	0,58
XVIII. Symptoms and abnormal clinical and laboratory findings not elsewhere classified (R00 – R99)	824.081	4,65
XIX. Injuries, poisoning, and certain other consequences of external causes (S00 – T98)	1.885.693	10,65
XX. – XII. Factors influencing health status and leading to use of health services; key for special purposes (U00 – Z99)	590.483	3,33
Sum	17.708.910	100

2.4 Evaluable regional level

The lowest evaluable regional level is the municipality of the hospital or the patient. Under certain conditions, it is possible to link data at hospital level. The linkage is made by the RDC staff. It is not possible to analyse the data at hospital level. The corresponding information is deleted before the data is made available to the user.

3 Practical advice

3.1 Notes on secrecy

3.1.1 Legal bases of statistical confidentiality

Confidentiality implies the certainty of absolute anonymity of the results of statistical analyses. In concrete terms, this means that confidentiality ensures that the published results cannot be used to draw conclusions about an individual case (e.g. person, company, institution). Statistical confidentiality is applied wherever statistical results or micro data leave the safe premises of official statistics.

Confidentiality in official statistics is governed by Section 16 of the Federal Statistics Act (Bundesstatistikgesetz, BstatG). It obliges the accomplishing authorities to keep information on personal and factual circumstances that was given for a federal statistic confidential as long as there are no contrary regulations. This is also referred to as statistical confidentiality. Statistical confidentiality obliges official statistics to protect the received information, i.e. to anonymise it in a way that does not allow for any inferences on the respective person/institution and the presented issues. Regarding informational self-determination, confidentiality is also of particular interest: Many surveys of official statistics are subject to the obligation to provide information. Thus, respondents are not free to decide for themselves whether they wish to pass information on. Official statistics must therefore ensure that the collected data cannot be attributed to any respondent.

However, the BStatG also intends for cases in which statistical secrecy does not apply. Section 16 of the BStatG sets out the exceptions to the obligation to confidentiality. Among others, it specifies the circumstances under which data from official statistics may be made accessible to scientists and which rules have to be observed thereby.

3.1.2 Confidentiality of results

To ensure the legally prescribed confidentiality of individual cases in the data, all results from remote execution and safe centres have to be subjected to a check for confidentiality by the RDC before they are released to the user. The RDC thereby ensure that the results are absolutely anonymous and that a re-identification of individual respondents can be ruled out at human discretion. The specialist departments of the statistical offices act accordingly before results are published.

The RDC apply various confidentiality rules to ensure statistical confidentiality, each of which is individually tailored to the respective statistic. The brochure "Regulations on the analysis of micro data in the Research Data Centres of the Federal Statistical Office and the Statistical Offices of the Federal States" presents the most common rules for primary confidentiality. These rules are generally applied to all RDC statistics. The annex to this brochure contains information on which confidentiality rules apply to which statistics.

The brochure can be found here:

https://www.forschungsdatenzentrum.de/en/confidentiality

3.1.3 Practical tips for avoiding confidentiality cases

Should confidentiality cases occur in the performed analyses then the RDC replaces these values with a blocking pattern to ensure confidentiality. Especially in cross tables many "holes" quickly appear in the results due to the necessary secondary blocking. Since a table cell once used for secondary blocking must also be blocked in all subsequent analyses (cross-table confidentiality) – even if it would not be necessary in the newly created table – it makes sense to ensure for all produced results that no confidential cases are generated. If confidential cases occur in an output, the supervising RDC is free to refuse the check and release of the output.

To avoid confidentiality cases in the analyses, you should always take care to ensure that your analyses are based on a sufficiently large numbers of cases. Should the number of cases be too small, we advise you to combine variable values to achieve a larger number of cases.

3.2 FAQ

If you have any questions, please contact the RDC location listed in the imprint for technical information.

3.3 Available tools

The SAS macro newvar can be used to flexibly create new dummy and sum variables based on secondary diagnoses as well as surgery and procedure keys. Depending on user-specific parameters, the macro selects an efficient method for creating new variables. The objective is to shorten the calculation time of the analyses. Further information about the SAS macro newvar can be found under the following link (unfortunately only in German):

https://www.forschungsdatenzentrum.de/sites/default/files/arbeitspapier-44.pdf

Statistical Offices of the Federation and the Federal States, Metadata report – Part II: Product-specific information on the use of the DRG statistic 2011 for remote execution