# **Metadata report**



Part II: Product-specific information on the use of the *DRG statistic 2005 for remote execution* 

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Version 3



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# 1 Data preparation by the RDC

## 1.1 Data preparation

All auxiliary features and direct identifiers have been deleted from the data as they may not be provided for reasons of anonymisation. The hospital-ID (ik), the discharging facility (entl\_ort), and the number of the hospital case (fall\_nr) are replaced by system-free identifiers.

Furthermore, the data is filtered so that only fully inpatient and pure DRG cases are being kept in the data (typ\_fall = 1 and typ\_bereich = 1).

## 1.2 Anonymisation measures

Apart from replacing the direct identifiers with system-free numbers, the RDC did not take any measures to further anonymise the data.

## 1.3 Method of linkage

Since no data was linked to create this product, this point is omitted.

# 2 Product

## 2.1 Characteristics and variable definition

Variable	Definition	Format	Length	Code		Comments
					Missing values	
kh_land	Land of the hospital	a	2	01 = Schleswig-Holstein 02 = Hamburg 03 = Niedersachsen 04 = Bremen 05 = Nordrhein-Westfalen 06 = Hessen 07 = Rheinland-Pfalz 08 = Baden-Württemberg 09 = Bayern 10 = Saarland 11 = Berlin 12 = Brandenburg 13 = Mecklenburg-Vorpommern 14 = Sachsen 15 = Sachsen-Anhalt 16 = Thüringen		classified according to the AGS as of December 31 of the reporting year.
kh_rb	Administrative region of the hospital	a	1	0 - 9	ja	classified according to the AGS as of December 31 of the reporting year. Evaluations at this regional level not possible for confidentiality reasons.
kh_kreis	District of the hospital	a	2	0 – 93	ja	classified according to the AGS as of December 31 of the reporting year. Evaluations at this regional level not possible for confidentiality reasons.
kh_gem	Municipality of the hospital	а	3	0 – 632	yes	classified according to the AGS as of December 31 of the reporting year.

						Evaluations at this regional level not possible for confidentiality reasons.
kh_plz	Postal code of the hospital	а	5	1067 – 99976	yes	Evaluations at this regional level not possible for confidentiality reasons.
kh_typ_gem	Settlement structural types of area of the hospital	а	2	01 = agglomeration area: larger nucleated cities 02 = agglomeration area: nucleated cities 03 = agglomeration area: highly populated districts: regional metropolis 04 = agglomeration area: highly populated districts: other municipalities 05 = agglomeration area: populated districts: regional metropolis 06 = agglomeration area: populated districts: other municipalities 07 = agglomeration area: rural districts: regional metropolis 08 = agglomeration area: rural districts: other municipalities 09 = urbanised area: nucleated cities 10 = urbanised area: populated districts: regional metropolis 11 = urbanised area: populated districts: other munici-palities 12 = urbanised area: rural districts: other municipalities 13 = urbanised area: rural districts: other municipalities 14 = rural regions: rural districts densely populated: regional metropolis 15 = rural regions: rural districts densely populated: other municipalities 16 = rural regions: rural districts sparsely populated: regional metropolis 17 = rural regions: rural districts sparsely populated: other municipalities		Explanations at www.bbsr.bund.de

pat_land	Federal state of the patient	а	2	01 = Schleswig-Holstein 02 = Hamburg 03 = Niedersachsen 04 = Bremen 05 = Nordrhein-Westfalen 06 = Hessen 07 = Rheinland-Pfalz 08 = Baden-Württemberg 09 = Bayern 10 = Saarland 11 = Berlin 12 = Brandenburg 13 = Mecklenburg-Vorpommern 14 = Sachsen 15 = Sachsen-Anhalt 16 = Thüringen au = Ausland oh = no data provided (category available for reporting years 2005-2010) un = unknown	classified according to the AGS as of December 31 of the reporting year.
pat_rb	Administrative region of the patient	а	1	0 – 9 a = foreign o = no data provided (category available for reporting years 2005-2010) u = unknown	
pat_kreis	district of the patient	а	2	00 – 93 au = foreign oh = no data provided (category available for reporting years 2005-2010) un = unknown	
pat_gem	Municipality of the patient	а	3	0 – 999 aus = foreign ohn = no data provided (category available for reporting years 2005-2010) unb = unknown	
pat_ags5	district of the patient (five- digit in accordance with AGS)	а	5	01001 – 16077 ausaa = foreign ohnoo = no data provided (category available for reporting years 2005-2010) unbuu = unknown	

pat_typ_gem	Settlement structurell types of area of the patient	А	2	01 = agglomeration area: larger nucleated cities 02 = agglomeration area: nucleated cities 03 = agglomeration area: highly populated districts: regional metropolis 04 = agglomeration area: highly populated districts: other municipalities 05 = agglomeration area: populated districts: regional metropolis 06 = agglomeration area: populated districts: regional metropolis 06 = agglomeration area: rural districts: regional metropolis 08 = agglomeration area: rural districts: other municipalities 09 = urbanised area: nucleated cities 10 = urbanised area: populated districts: regional metropolis 11 = urbanised area: populated districts: other municipalities 12 = urbanised area: rural districts: regional metropolis 13 = urbanised area: rural districts: other municipalities 14 = rural regions: rural districts densely populated: regional metropolis 15 = rural regions: rural districts densely populated: other municipalities 16 = rural regions: rural districts sparsely populated: regional metropolis 17 = rural regions: rural districts sparsely populated: other municipalities au = foreign oh = no data provided (category available for reference years 2005-2010) un = unknown m = male		Explanations at www.bbsr.bund.de
sex	Sex	а	1	m = male w = female u = unknown		
alter	Age in years	n	8	999 = unknown	yes	

typ_alter	Age (grouped)	n	3	1 = 0 years 2 = 1 to 4 years 3 = 5 to 9 years 4 = 10 to 14 years 5 = 15 to 19 years 6 = 20 to 24 years 7 = 25 to 29 years 8 = 30 to 34 years 9 = 35 to 39 years 10 = 40 to 44 years 11 = 45 to 49 years 12 = 50 to 54 years 13 = 55 to 59 years 14 = 60 to 64 years 15 = 65 to 69 years 16 = 70 to 74 years 17 = 75 to 79 years 18 = 80 to 84 years 19 = 85 to 89 years 20 = 90 to 94 years 21 = 95 to 110 years 22 = unknown	yes	
geb_jahr	Year of Birth	n	8	four-digit information on year of birth (YYYY)		
geb_monat	Month of birth (only for under one-year-olds)	n	8	0 = 1 year and older 1 = 1 month 2 = 2 month 3 = 3 month 4 = 4 month 5 = 5 month 6 = 6 month 7 = 7 month 8 = 8 month 9 = 9 month 10 = 10 month 11 = 11 month 12 = 12 month		
alter_tage	Age in days (only for children up to the age of one)	n	8	0 = 1 year and older		This information is only given for children up to the age of 1 years. It is needed for assignment of DRG. In case of newborns with day of admission = date of birth, "1" has to be stated.

typ_geb	Further information on newborns: admission in month of birth	n	3	1 = yes 2 = no	yes	
aufn_anl	Cause of admission	a	1	E = referral by a physician Z = referral by a dentist N = emergency R = admission after prior treatment in a rehabilitation facility V = transfer with a duration of therapy of over 24 hours at transfering hospital K = transfer (admission) of another hospital in the course of a cooperation (category available for reporting years 2005-2008) A = transfer with a duration of therapy of up to 24 hours at transfering hospital (for admissions after 1.1.2007) G = birth		Newborns, who are discharged together with their mother, need to be indicated as '06' (birth) as reason for admission and 'G' as cause of admission. In case of a newborn is not being released together with its mother, their reason of admission needs to be indicated as '01' and the cause of admission needs to be indicated as 'G'.
aufn_grd	Reason for admission	n	3	1 = hospital treatment, full inpatient 2 = hospital treatment, full inpatient with previous preadmission treatment 5 = inpatient childbirth 6 = birth 7 = readmission due to complications (flat rate per case) according to KFPV 2003 8 = inpatient admission for organ removal 99 = unknown (category available for reporting years 2005-2007)	yes	
aufn_gew	Weight of admission in grams (only for children up to the age of one)	n	8	0 = 1 year and older		Only for children up to the age of one; in case of newborns the birth weight counts.
beatm	Time of respiration in hours	n	8		yes	<u> </u>

entl_grd	Cause of discharge	<b>5</b>	3	1 = regular termination of treatment 2 = regular termination of treatment, post-discharge treatment intended 3 = treatment terminated for other reasons 4 = treatment terminated against medical advice 5 = changes in responsibility of cost unit (in days-related charges) 6 = transfer to another hospital 7 = death 8 = transfer to another hospital as part of a cooperation 9 = discharge into a rehabilitation facility 10 = discharge into a long-term care facility 11 = discharge into a hospice 13 = external transfer for psychiatric treatment 14 = treatment terminated for other reasons, post-discharge treatment intended 15 = treatment terminated against medical advice, post-discharge treatment intended 17 = internal transfer with change in remuneration areas of DRGs, of the Federal Ordinance on Hospital Rates or for special facilities according to section 17b subsection 1 sentence 15 of the KHG 22 = case end (internal transfer) when changing between full and part-time inpatient treatment 24 = termination of an external stay with absence past midnight (BdpflV-section, for pseudo-specialist department 0003) (category available as of reporting year 2016) 25 = Discharge at the end of the year while admitted the year before (for accounting purposes, § 4 PEPPV 2013) (category available as of reporting year 2014)		Category "13" relates to a subset of hospitals, being summarised as '6' formerly. Category "6" is now only relating to external transfers to hospitals, if there is not a transfer to a psychiatric or psychosomatic department.
icd_hd3	ICD code three-digit primary diagnosis	а	3			Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.
icd_hd4	ICD code four-digit primary diagnosis	а	4			Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.
icd_hd	ICD code five-digit primary diagnosis	а	5			Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.
icd_nd1 - icd_nd89	ICD code secondary diagnosis	а	5		yes	Diagnosis code in the current ICD-10GM version analogously to § 301 agreement.

dia_art1-dia_art90	Diagnosis type	а	5	HD = main diagnosis ND = secondary diagnosis (Nebendiagnose) SD = secondary diagnosis (Sekundärdiagnose) UN = unknown	yes	This further information on ICD variables of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at ICD codes, which are not adjusted in the process of plausibility checks. ICD codes, which have been adjusted due to plausibility checks, contain "UN" in the according further information. Variable dia_art1 is refering to the primary diagnoses. Variables dia_art2 to dia_art90 are refering to the secondary diagnoses 1 to 89.
icd_ve1-icd_ve90	ICD version	n	4	four-digit information on reporting year (YYYY) 9999 = unknown	yes	This further information on ICD variables of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at ICD codes, which are not adjusted in the process of plausibility checks. ICD codes, which have been adjusted due to plausibility checks, contain ""9999" in the according further information. Variable icd_ve1 is refering to the primary diagnoses. Variables icd_ve2 to icd_ve90 are refering to the secondary diagnoses 1 to 89.

icd_lo1-icd_lo90	ICD localisation (further information on code of diagnosis)	a	1	R = right L = left B = double-sided U = unknown	yes	This further information on ICD variables of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at ICD codes, which are not adjusted in the process of plausibility checks. ICD codes, which have been adjusted due to plausibility checks, contain "U" in the according further information. Variable icd_lo1 is refering to the primary diagnoses. Variables icd_lo2 to icd_lo90 are refering to the secondary diagnoses 1 to 89.
drgh	DRG code (primary DRG code, grouped by InEK)	а	4			
partition	DRG partition (M, O, A)	а	1	M = medical flat rate per case O = operational flat rate per case A = other flat rates per case		
split	Splitting of the basic DRG into degree of severity and use of ressources, 4th digit of the DRG notation	а	4	A – Z		
ops_ko1 - ops_ko101	OPS-code	а	6	99999 = unknown	yes	Procedure code in the current OPS version analogously to § 301 agreement.
typ_op	Operation according to chapter 5	n	3	1 = yes 2 = no		
z_bel_oper	Number of participation / performance of external operators	n	8		yes	
z_bel_an	Number of participation / performance of external anesthesists	n	8		yes	

z_bel_heb	Number of participation / performance of external midwives	n	8		yes	
bel_oper1- bel_oper101	external operators	а	1	J = yes, participation / performance of external operators N = no, no participation / performance of external operators U = unknown	yes	This further information on OPS-codes is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "U" in the according further information.
bel_an1-bel_an101	external anesthesists	а	1	J = yes, participation / performance of external anesthesists N = no, no participation / performance of external anesthesists U = unknown	yes	
bel_heb1- bel_heb101	external midwives	а	1	J = yes, participation / performance of external midwives N = no, no participation / performance of external midwives U = unknown	yes	
ops_ve1- ops_ve101	OPS-version	n	4	four-digit information on reporting year 9999 = unknown	yes	This further information on OPS-codes is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further

						information.
dat_ops1- dat_ops101	OPS-date	a	8	date in format YYYYMMDD 99999999 = unknown	yes	This further information on OPS-codes is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "99999999" in the according further information.
zeit_ops1- zeit_ops101	OPS-time	a	4	time in format hhmm 9999 = unknown	yes	This further information on OPS-codes of diagnosis is not available as checked for plausibility. Therefore, this information only contains valid values at OPS codes, which are not adjusted in the process of plausibility checks. OPS-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further information.
ops_lo1-ops_lo101	Specialist departments	а	1	R = right L = left B = double-sided U = unknown	yes	
fab1 - fab100	Specialist departments	а	8	01 = internal medicine 0102 = focus geriatrics 0103 = focus cardiology 0104 = focus nephrology	yes	In addition to "00" the codes "90" and "92"can be used in the third and fourth digit to code

0105 = focus haematology and internal oncology	specialised specialist
0106 = focus endocrinology	
0100 = focus endocrifology 0107 = focus gastroenterology	departments, which are
0107 = focus gastroenterology 0108 = focus pneumology	not coded with a national
0109 = focus pheumology	common specialist
0114 = focus pulmonary and bronchial medicine	department code. Even
0150 = tumour research	though using this
0151 = focus coloproktology	distinction of specialist
0152 = focus infectious diseases	departments, it is not
0153 = focus diabetes	needed to name the type
0154 = focus naturopathy	of specialisation or the
0156 = focus stroke patients (Stroke units, art. 7 § 1 (3) GKV-SolG)	
	focus of the specialist
02 = geriatrics	department precisely.
0224 = focus gynaecology	Both contracting parties
0260 = day-care hospital (for semi-stationary nursing charges)	need to determine the
0261 = night-care hospital (for semi-stationary nursing charges)	specialist department
	code (described above)
03 = cardiology	within the nursing care
04 = nephrology	rate agreement. This is
0410 = focus paediatrics	the condition for the
0436 = intensive care	procedure.
0430 - Intensive care	procedure.
05 = haematology and internal oncology	Specialist department
0510 = focus paediatrics	
0524 = focus gynaecology	codes, for which it is
0533 = focus radiotherapeutics	possible to take 50 %
	APS intensive into
06 = endocrinology	account (in accordance
0607 = focus gastroenterology	with version of BPfIV of
0610 = focus paediatrics	31.12.2003) and which
	department flat rate does
07 = gastroenterology	not need to be reduced
0706 = focus endocrinology	by 20 %, if a surcharge is
0710 = focus paediatrics	charged simultaneously:
08 = pneumology	- 0436
oo – priedinology	- 1136
09 = rheumatology	- 1536
0910 = focus paediatrics	
0010 = 10000 pacanamos	- 2036
10 = paediatrics	- 2050
1004 = focus nephrology	- 2136
1005 = focus haematology and internal oncology	- 2150
1006 = focus endocrinology	- 36xx
1007 = focus gastroenterology	
1009 = focus rheumatology	Special arrangement for
1011 = focus paediatric cardiology	pseudo-specialist
1012 = focus neonatology	departments in ETL-
1014 = focus pulmonary and bronchial medicine	doparanonto in E1E

1028 = focus paediatric neurology 1050 = focus perinatal medicine 1051 = long-term range children 11 = paediatric cardiology 1136 = focus intensive care 11 = paediatric cardiology 1136 = focus intensive care 12 = neonatology 13 = paediatric surgery 14 = pulmonary and bronchial medicine 1410 = focus paediatrics 15 = general surgery 1513 = focus paediatric surgery 1518 = focus surgery 1518 = focus surgery 1518 = focus surgery 1519 = focus paediatric surgery 1519 = focus paediatric surgery 1519 = focus paediatric surgery 1520 = focus surgery 1523 = focus orthopaedics 1536 = intensive care (§ 13 (2) 3, 2. BPfIV version released on 31.12.2003) 1550 = focus aband surgery 16 = trauma surgery 16 = trauma surgery 16 = trauma surgery 17 = neurosurgery 18 = vascular surgery 18 = vascular surgery 18 = vascular surgery 19 = neurosurgery 18 = vascular surgery 18 = vascular surgery 19 = neurosurgery 18 = vascular surgery 18 = paediatric surgery 19 = paediatric surger	
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18 = vascular surgery	
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specialist department	
19 = plastic surgery code for an external	d
residence over night f	it for
20 = thoracic surgery insured persons in	
2021 = focus heart surgery 2036 = intensive care hospitals who are	
2036 = Intensive care 2050 = focus heart surgery intensive care refunded in accordance	ance
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2136 – intensive care (8.13.(2) 3. 2. RPfI)/ version released on	17 a
31.12.2003) KHG)).	
2150 = focus thoracic surgery intensive care	
22 = urology	
23 = orthopaedics	
2309 = focus rheumatology	
2315 = focus surgery	

COAC anthonoradics and traums are an	-
2316 = orthopaedics and trauma surgery	
24 = gynaecology and obstetrics	
2402 = focus geriatrics	
2402 = focus genatrics 2405 = focus haematology and internal oncology	
2406 = focus endocrinology	
2425 = gynaecology	
25 = obstetrics	
ZJ = UDSIGNICS	
26 = otorhinolaryngology	
20 - Stoffmort Jugorogy	
27 = ophthalmology	
28 = neurology	
2810 = focus paediatrics	
2856 = focus stroke patients (Stroke units, art. 7 § 1 (3) GKV-SolG)	
29 = general psychiatry	
2928 = emphasis neurology	
2930 = focus child and youth psychiatry	
2931 = focus psychosomatics / psychotherapy	
2950 = focus addiction treatment	
2951 = focus addiction treatment 2951 = focus gerontological psychiatry	
2951 = focus geroniological psychiatry 2952 = focus forensic treatment	
2953 = focus addiction treatment, day-care hospital	
2954 = focus addiction treatment, night-care hospital	
2955 = focus gerontological psychiatry, day-care hospital	
2956 = focus gerontological psychiatry, night-care hospital	
2960 = day-care hospital (for semi-stationary nursing charges)	
2961 = night-care hospital (for semi-stationary nursing charges)	
20 shild and vestib neverbists.	
30 = child and youth psychiatry	
3060 = day-care hospital (for semi-stationary nursing charges)	
3061 = night-care hospital (for semi-stationary nursing charges)	
31 = psychosomatics / psychotherapy	
3110 = focus child and youth psychiatry	
3160 = day-care hospital (for semi-stationary nursing charges)	
3161 = night-care hospital (for semi-stationary nursing charges)	
32 – puplogr modicine	
32 = nuclear medicine	
3233 = focus radiotherapeutics	
32 - radiotherapoutice	
33 = radiotherapeutics	
3305 = focus haematology and internal oncology	
3350 = focus radiology	
24 – dormotology	
34 = dermatology	
3460 = day-care hospital (for semi-stationary nursing charges)	

			35 = dentistry and oral surgery		
			36 = intensive care 3601 = focus internal medicine 3603 = focus cardiology 3610 = focus paediatrics 3617 = focus neurosurgery 3618 = focus surgery 3622 = focus urology 3624 = focus gynaecology and obstetrics 3626 = focus otorhinolaryngology 3628 = focus neurology 3650 = focus surgery 3651 = thoracic heart surgery 3652 = cardiothroracic surgery 37 = other specialist departments 3750 = angiology 3751 = radiology 3752 = palliative medicine 3753 = pain therapy 3754 = healing therapy department 3755 = spinal surgery 3756 = addiction medicine 3757 = abdominal surgery		
specialist department with the longest duration of stay	а	8	see fab1 – fab100		
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dat_aufn_fa1- dat_aufn_fa100	Date of admission in the specialist department	а	8	date in format YYYYMMDD	This further information on FAB-codes variables is not available as checked for plausibility. Therefore, this information only contains valid values at FAB-codes, which are not adjusted in the process of plausibility checks. FAB-codes, which have been adjusted due to plausibility checks, contain "99999999" in the according further information.
zeit_aufn_fa1- zeit_aufn_fa100	Time of admission in the specialist department	a	8	time in format hhmm	This further information on FAB-codes is not available as checked for plausibility. Therefore, this information only contains valid values at FAB-codes, which are not adjusted in the process of plausibility checks. FAB-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further information.
dat_entl_fa1- dat_entl_fa100	Date of transfer out of the specialist department	a	8	date in format YYYYMMDD	This further information on FAB codes variables is not available as checked for plausibility. Therefore, this information only contains valid values at FAB-codes, which are not adjusted in the process of plausibility checks.

					FAB-codes, which have been adjusted due to plausibility checks, contain "9999999" in the according further information.
zeit_entl_fa1- zeit_entl_fa100	Time of transfer out of the specialist department	а	8	time in format hhmm	This further information on FAB-codes is not available as checked for plausibility. Therefore, this information only contains valid values at FAB-codes, which are not adjusted in the process of plausibility checks. FAB-codes, which have been adjusted due to plausibility checks, contain "9999" in the according further information.
typ_abt	Type of department	n	3	1 = Main department only 2 = Document department only 3 = only special equipment 4 = several different assignments	
abt_art1 - abt_art100	department category	а	8	HA = main department BA = occupancy department BE = special department	
ik	Hospital-ID (anonymised)	а	9		
fall_nr	number of case (anonymised)	n	8		
entl_ort	Discharging facility (anonymised)	n	3		A distinction is only necessary if a hospital has got various locations and accounts under a consistent hospital-ID. Otherwise, data is containing a zero.

auf_monat	month of hospital admission	n	3	two-digit month specification	
aufn_jahr	year of hospital admission	n	4	four-digit year specification	
dat_aufn	date of hospital admission	а	8	date in format YYYYMMDD	
zeit_aufn	time of hospital admission	а	4	time in format hhmm	
dat_entl	date of discharge from hospital (anonymised)	а	8	date in format YYYYMMDD	
zeit_entl	time of discharge from hospital	а	4	time in format hhmm	
tage	Period of hospitalisation (day cases are calculated as one day)	n	8		
typ_vwd	Type of period of hospitalisation	n	3	01 = day case = 1 02 = days = 1 03 = days = 2 04 = days = 3 05 = days = 4 06 = days = 5 07 = days = 6 08 = days = 7 09 = days <= 9 10 = days <= 12 11 = days <= 14 12 = days <= 21 13 = days <= 28 14 = days <= 35 15 = days <= 42 16 = days <= 182 18 = days <= 365 19 = days <= 99999	
std_fall	day case	n	3	1 = yes 2 = no	

F	Coop Min (CNA)			 The Committee to the
cm	Case Mix (CM)	c	8	The Case Mix is the sum of the effective cost relations of all hospital cases treated in the relevant reporting year. The calculation includes the effective cost relation of the DRG of the case treated. Day-related reductions (where duration of stay of a case is below the minimum duration), surcharges (where duration of stay of a case exceeds the maximum duration) as well as transfers of cases according to DRG regulations are included. Additional charges and full inpatient treatments, which are not remunerated by the DRG catalogue, are not included.
cm_n	valid cases (counter variable for the Case Mix)	n	8	Counter variable, which takes the value 1, if cm and cm_vol show valid values.
cm_vol	Case Mix-revenue in euros	n	8	The Case-Mix Revenue is calculated by multiplying the effective cost weight by the relevant Land-wide base rate (with "Angleichungsbetrag") of the hospital cases. Additional charges and full inpatient treatments, which are not remunerated by the DRG catalogue, are not included.

## 2.2 Comparability of characteristics over time

The characteristics are basically comparable over time. Please note that some variables do not occur in all reference years. This is illustrated in the codebook for all reference years, which can be found at:

https://www.forschungsdatenzentrum.de/en/health/drg

Please also note that the classifications according to which the main and secondary diagnoses, operations and performed procedures are coded change over time. Decisive for the respective reporting year is always the version of the classification valid for the survey year.

The ICD-10-GM classification of the main and secondary diagnoses relevant for the reporting year 2005 can be found using the following link:

https://www.dimdi.de/dynamic/en/classifications/icd/icd-10-gm/code-search/index.html

The operation and procedure code relevant for the reporting year 2005 can be found using the following link:

https://www.dimdi.de/dynamic/en/classifications/ops/code-search/index.html

## 2.3 Basic values of relevant characteristics and characteristic combinations

Federal State of patient	Count	Percent
Schleswig-Holstein	507.712	3,16
Hamburg	287.537	1,79
Lower Saxony	1.494.537	9,30
Bremen	124.063	0,77
North Rhine-Westphalia	3.701.211	23,03
Hessia	1.145.440	7,13
Rhineland-Palatinate	829.986	5,16
Baden-Württemberg	1.795.155	11,17
Bavaria	2.346.311	14,60
Saarland	233.519	1,45
Berlin	591.904	3,68
Brandenburg	555.424	3,46
Mecklenburg-Western Pomerania	360.479	2,24
Saxony	850.193	5,29
Saxony-Anhalt	553.641	3,44
Thuringia	504.229	3,14
Unknown	190.505	1,19
Sum	16.071.846	100

Federal State of hospital	Count	Percent
Schleswig-Holstein	490.714	3,05
Hamburg	373.910	2,33
Lower Saxony	1.420.572	8,84
Bremen	183.892	1,14
North Rine-Westphalia	3.744.370	23,30
Hessia	1.117.291	6,95
Rhineland-Palatinate	785.961	4,89
Baden-Württemberg	1.851.198	11,52
Bavaria	2.441.658	15,19
Saarland	244.254	1,52
Berlin	663.234	4,13
Brandenburg	489.802	3,05
Mecklenburg-Western Pomerania	362.568	2,26
Saxony	863.266	5,37
Saxony-Anhalt	535.957	3,33
Thuringia	503.199	3,13
Sum	16.0718.46	100

Gender of patient	Count	Percent
Male	7.415.735	46,14
Female	8.655.064	53,85
Unknown	1.047	0,01
Sum	16.071.846	100

Age of patient	Count	Percent
0 to 9 years	1.512.735	9,41
10-19 years	737.509	4,59
20-29 years	1.125.617	7,00
30-39 years	1.365.143	8,49
40-49 years	1.558.412	9,70
50-59 years	1.845.836	11,48
60-69 years	2.901.440	18,05
70 and older	5.025.149	31,27
Unknown	5	0,00
Sum	16.071.846	100

Main diagnosis according to ICD-10-GM	Count	Percent	
I. Certain infectious and parasitic diseases	415.234	2,58	
II. Neologisms (C00 – D48)	1.826.406	11,36	
III. Diseases of the blood and haematopoietic organs and certain disorders involving the immune system (D50 – D90)	114.444	0,71	
IV. Endocrine, nutritional and metabolic diseases (E00 – E90)	459.387	2,86	
V. Mental and behavioural disorders (F00 – F99)	273.141	1,70	
VI. Diseases of the nervous system (G00 – G99)	673.375	4,19	
VII. Diseases of the eye and eye appendages (H00 – H59)	299.240	1,86	
VIII. Diseases of the ear and mastoid process (H60 – H95)	139.757	0,87	
IX. Diseases of the circulatory system (I00 – I99)	2.531.626	15,75	
X. Diseases of the respiratory system (J00 – J99)	1.080.096	6,72	
XI. Diseases of the digestive system (K00 – K93)	1.696.976	10,56	
XII. Diseases of the skin and subcutis (L00 – L99)	225.304	1,40	

XIII. Diseases of the musculoskeletal system and connective tissue (M00 – M99)	1.362.560	8,48
XIV. Diseases of the urogenital system (N00 – N99)	884.235	5,50
XV. Pregnancy, childbirth and puerperium (O00 – O99)	928.138	5,77
XVI. Certain states originating in the perinatal period (P00 – P96)	165.754	1,03
XVII. Congenital malformations, deformities and chromosomal anomalies (Q00 – Q99)	105.246	0,65
XVIII. Symptoms and abnormal clinical and laboratory findings not elsewhere classified (R00 – R99)	588.949	3,66
XIX. Injuries, poisoning, and certain other consequences of external causes (S00 – T98)	1.643.847	10,23
XX. – XII. Factors influencing health status and leading to use of health services; key for special purposes (U00 – Z99)	658.131	4,09
Sum	16.071.846	100

Main diagnoses according to ICD-10-GM by sex	Count			
	Male	Female	Unknown	Sum
I. Certain infectious and parasitic diseases	202.093	213.111	30	415.234
II. Neologisms (C00 – D48)	891.169	935.201	36	1.826.406
III. Diseases of the blood and haematopoietic organs and certain disorders involving the immune system (D50 – D90)	47.540	66.899	5	114.444
IV. Endocrine, nutritional and metabolic diseases (E00 – E90)	182.783	276.583	21	459.387
V. Mental and behavioural disorders (F00 – F99)	149.726	123.392	23	273.141
VI. Diseases of the nervous system (G00 – G99)	367.641	305.673	61	673.375
VII. Diseases of the eye and eye appendages (H00 – H59)	125.528	173.676	36	299.240
VIII. Diseases of the ear and mastoid process (H60 – H95)	66.273	73.477	7	139.757
IX. Diseases of the circulatory system (I00 – I99)	1.331.505	1.200.019	12	2.531.626
X. Diseases of the respiratory system (J00 – J99)	589.270	490.751	75	1.080.096
XI. Diseases of the digestive system (K00 – K93)	843.902	852.996	78	1.696.976

XII. Diseases of the skin and subcutis (L00 – L99)	117.716	107.577	11	225.304
XIII. Diseases of the musculoskeletal system and connective tissue (M00 – M99)	581.103	781.359	98	1.362.560
XIV. Diseases of the urogenital system (N00 – N99)	366.269	517.943	23	884.235
XV. Pregnancy, childbirth and puerperium (O00 – O99)	0	928.138	0	928.138
XVI. Certain states originating in the perinatal period (P00 – P96)	90.685	75.000	69	165.754
XVII. Congenital malformations, deformities and chromosomal anomalies (Q00 – Q99)	57.229	48.002	15	105.246
XVIII. Symptoms and abnormal clinical and laboratory findings not elsewhere classified (R00 – R99)	265.693	323.213	43	588.949
XIX. Injuries, poisoning, and certain other consequences of external causes (S00 – T98)	809.421	834.334	92	1.643.847
XX. – XII. Factors influencing health status and leading to use of health services; key for special purposes (U00 – Z99)	330.189	327.720	222	658.131
Sum	7.415.735	8.655.064	1.047	16.071.846

## 2.4 Evaluable regional level

The lowest evaluable regional level is the municipality of the hospital or the patient. Under certain conditions, it is possible to link data at hospital level. The linkage is made by the RDC staff. It is not possible to analyse the data at hospital level. The corresponding information is deleted before the data is made available to the user.

## 3 Practical advice

## 3.1 Notes on secrecy

## 3.1.1 Legal bases of statistical confidentiality

Confidentiality implies the certainty of absolute anonymity of the results of statistical analyses. In concrete terms, this means that confidentiality ensures that the published results cannot be used to draw conclusions about an individual case (e.g. person, company, institution). Statistical confidentiality is applied wherever statistical results or microdata leave the safe premises of official statistics.

Confidentiality in official statistics is governed by Section 16 of the Federal Statistics Act (Bundesstatistikgesetz, BstatG). It obliges the accomplishing authorities to keep information on personal and factual circumstances that was given for a federal statistic confidential as long as there are no contrary regulations. This is also referred to as statistical confidentiality. Statistical confidentiality obliges official statistics to protect the received information, i.e. to anonymise it in a way that does not allow for any inferences on the respective person/institution and the presented issues. Regarding informational self-determination, confidentiality is also of particular interest: Many surveys of official statistics are subject to the obligation to provide information. Thus, respondents are not free to decide for themselves whether they wish to pass information on. Official statistics must therefore ensure that the collected data cannot be attributed to any respondent.

However, the BStatG also intends for cases in which statistical secrecy does not apply. Section 16 of the BStatG sets out the exceptions to the obligation to confidentiality. Among others, it specifies the circumstances under which data from official statistics may be made accessible to scientists and which rules have to be observed thereby.

## 3.1.2 Confidentiality of results

To ensure the legally prescribed confidentiality of individual cases in the data, all results from remote execution and safe centres have to be subjected to a check for confidentiality by the RDC before they are released to the user. The RDC thereby ensure that the results are absolutely anonymous and that a re-identification of individual respondents can be ruled out at human discretion. The specialist departments of the statistical offices act accordingly before results are published.

The RDC apply various confidentiality rules to ensure statistical confidentiality, each of which is individually tailored to the respective statistic. The brochure "Regulations on the analysis of micro data in the Research Data Centres of the Federal Statistical Office and the Statistical Offices of the Federal States" presents the most common rules for primary confidentiality. These rules are generally applied to all RDC statistics. The annex to this brochure contains information on which confidentiality rules apply to which statistics.

The brochure can be found here:

https://www.forschungsdatenzentrum.de/en/confidentiality

### 3.1.3 Practical tips for avoiding confidentiality cases

Should confidentiality cases occur in the performed analyses then the RDC replaces these values with a blocking pattern to ensure confidentiality. Especially in cross tables many "holes" quickly appear in the results due to the necessary secondary blocking. Since a table cell once used for secondary blocking must also be blocked in all subsequent analyses (cross-table confidentiality) – even if it would not be necessary in the newly created table – it makes sense to ensure for all produced results that no confidential cases are generated. If confidential cases occur in an output, the supervising RDC is free to refuse the check and release of the output.

To avoid confidentiality cases in the analyses, you should always take care to ensure that your analyses are based on a sufficiently large numbers of cases. Should the number of cases be too small, we advise you to combine variable values to achieve a larger number of cases.

#### 3.2 FAQ

If you have any questions, please contact the RDC location listed in the imprint for technical information.

### 3.3 Available tools

The SAS macro newvar can be used to flexibly create new dummy and sum variables based on secondary diagnoses as well as surgery and procedure keys. Depending on user-specific parameters, the macro selects an efficient method for creating new variables. The objective is to shorten the calculation time of the analyses. Further information about the SAS macro newvar can be found under the following link (unfortunately only in German):

https://www.forschungsdatenzentrum.de/sites/default/files/arbeitspapier-44.pdf

